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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: NAGATA, Yoshiaki, et al.

Group Art Unit: 3663

Serial No.: 10/567,443

Examiner: Not Yet Assigned

Filed: February 7, 2006

P.T.O. Confirmation No.: 5049

For: **NEW OPTICALLY ACTIVE RARE EARTH COMPLEX HAVING CIRCULARLY POLARIZED LUMINESCENCE**

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

November 14, 2006

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that

the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,
HANSON & BROOKS, LLP



Donald W. Hanson
Attorney for Applicants
Reg. No. 27,133

DWH/lrj

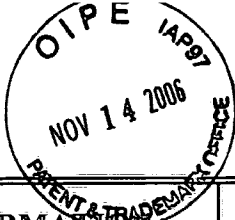
Atty. Docket No. **060104**
Suite 1000
1725 K Street, N.W.
Washington, D.C. 20006
(202) 659-2930



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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449
References (2)
European Office Action dated October 16, 2006 with attached
Supplementary European Search Report dated 10/6/06



**INFORMATION
DISCLOSURE
CITATION
PTO-1449**

Atty. Docket No. 060104

Serial No. 10/567,443

Applicant(s): NAGATA, Yoshiaki, et al.

Filing Date: February 7, 2006

Group Art Unit: 3663

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
/L.R./	AA	2003/0144487 A1	Grushin et al.	7/31/03	534	15
_____	AB					
_____	AC					
_____	AD					
_____	AE					

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Document No.			Date	Country	Translation (Yes or No)
<u>/L.R./</u>	AF	WO 03/022857	3/20/03	PCT	Abstract
<u> </u>	AG				
<u> </u>	AH				
<u> </u>	AI				
<u> </u>	AJ				

OTHER DOCUMENTS

_____	AK	European Office Action dated October 16, 2006 with attached Supplementary European Search Report dated 10/6/06	
_____	AL		
Examiner	/Lance Rider/	Date Considered	07/29/2009